

HUNTINGDON COUNTY FOUNDATION

Whistleblower Protection Policy

Huntingdon County Foundation requires directors, trustees, officers and employees to observe high standards of business and personal ethics in the conduct of their duties and responsibilities. As employees and/or representatives of Huntingdon County Foundation, we must practice honesty and integrity in fulfilling our responsibilities and comply with all applicable laws and regulations.

Reporting Responsibility

This Whistleblower Policy is intended to encourage and enable employees and others to raise serious concerns internally so that Huntingdon County Foundation can address and correct inappropriate conduct and actions. It is the responsibility of all board members, officers, employees and volunteers to report concerns about violations of Huntingdon County Foundation's Conflict of Interest Policy or suspected violations of law or regulations that govern Huntingdon County Foundation's operations.

No Retaliation

It is contrary to the values of Huntingdon County Foundation for anyone to retaliate against any board member, officer, employee or volunteer who in good faith reports an ethics violation, or a suspected violation of law, such as a complaint of discrimination, or suspected fraud, or suspected violation of any regulation governing the operations of Huntingdon County Foundation. A board member, officer, employee, or volunteer who retaliates against someone who has reported a violation in good faith is subject to discipline up to and including termination of employment.

Reporting Procedure

Huntingdon County Foundation has an open door policy and suggests that Directors and employees share their questions, concerns, suggestions or complaints with the Board Chairman. If employees are not comfortable speaking with your supervisor or you are not satisfied with your supervisor's response, you are encouraged to speak with a board member. Employees are required to report complaints or concerns about suspected ethical and legal violations in writing to the Huntingdon County Foundation's Compliance Officer who has the responsibility to investigate all reported complaints. Employees with concerns or complaints may also submit their concerns in writing directly to the Board of Directors or the Board Chairman or the organization's Compliance Officer.

Compliance Officer

The Huntingdon County Foundation's Compliance Officer is responsible for ensuring that all complaints about unethical or illegal conduct are investigated and resolved. The Compliance Officer will advise the Board of Trustees of all complaints and their resolution and will report at least annually to the Board of Trustees on compliance activity relating to accounting or alleged financial improprieties.

Accounting and Auditing Matters

The Huntingdon County Foundation's Compliance Officer shall immediately notify the Board of Directors with any concerns or complaint regarding corporate accounting practices, internal controls or auditing and work with the Board of Trustees until the matter is resolved.

Acting in Good Faith

Anyone filing a written complaint concerning a violation or suspected violation must be acting in good faith and have reasonable grounds for believing the information disclosed indicates a violation. Any allegations that prove not to be substantiated and which prove to have been made maliciously or knowingly to be false will be viewed as a serious disciplinary offense.

Confidentiality

Violations or suspected violations may be submitted on a confidential basis by the complainant. Reports of violations or suspected violations will be kept confidential to the extent possible, consistent with the need to conduct an adequate investigation.

Handling of Reported Violations

The Huntingdon County Foundation's Compliance Officer will notify the person who submitted a complaint and acknowledge receipt of the reported violation or suspected violation. All reports will be promptly investigated and appropriate corrective action will be taken if warranted by the investigation.

Compliance Officer:

Lawrence Newton
President of the Board of Trustees
Huntingdon County Foundation
500 Allegheny St.
Huntingdon, PA 16652

Policy approved by the Board of Trustees on _____(Date).

January 10, 2018